

Register of Compliance Obligations 2012

ensuring compliance	Compliance achieved by:	Assurances obtained by:	
---------------------	-------------------------	-------------------------	--

Compliance risk rating = high, medium,

Register of Compliance Obligations 2012

Compliance risk rating = high, medium, low, in accordance

Register of Compliance Obligations 2012

ACADEMIA, TEACHING & LEARNING, RESEARCH					
Compliance issue or Regulatory requirement	Level of oversight	Officer responsible for ensuring compliance	Compliance achieved by:	Assurances obtained by:	Training/resources available

Compliance risk rating = high, medium, low, in accordance with the University

Register of Compliance Obligations 2012

NZVCA Agreements	Vice Chancellor	AVCA Academic and International	Academic Audit every 5 yrs CUAIP Process Graduating year Review	NZ Qualifications Register Graduate Destinations/ Outcomes survey Self review prior to Academic Audit Progress report for Academic Audit (1 year post audit) Academic Audit report		
------------------	-----------------	---------------------------------	---	---	--	--


Compliance risk rating = high, medium, low, in accordance with the University

Register of Compliance Obligations 2012

STUDENT WELFARE						
Compliance Issue or Regulatory requirement	Level 3 oversight	Office responsible for ensuring compliance	Compliance achieved by:	Assurances obtained by:	Training/resources available	Compliance Risk Rating
New Zealand Code of Practice for the Pastoral Care of International Students	AVCA Academic & International	Director, International	Code of Practice for Pastoral Care of International Students annually NZUAA external audit against Code	Annual self assessment against code External Audit report NZUAA		
Health Information Privacy Code 1994	AVCA and University Registrar	Campus Registrars	Privacy Policy and Procedures to protect the privacy of student health records, and staff health records	Management self review		
Human Rights Act 1993	AVCA People and Organisational Development AVCA and University Registrar	Employment Relations Manager Campus Registrars	Policy on Staff Conduct Code of student Conduct			
Federal Aid Regulations	AVCA Academic & International	Director, International	Recertification of Programme Participation Agreement (PPA) (every 6 yrs) Annual FSAC Compliance Audit and financial statement audit	Compliance Audit report compiled by Grant Thornton		

Compliance risk rating = high, medium, low, in accordance with the University

Register of Compliance Obligations 2012

GOVERNANCE AND CENTRAL SERVICES						
Compliance Issue or Regulatory requirement	Level 3 oversight	Office responsible for ensuring compliance	Compliance achieved by:	Assurances obtained by:	Training/resources available	Compliance Risk Rating
Building Act 2004	AV Finance, Strategy and IT	Facilities Director(s)	Meeting all obligations of the Building Act, and associated Code and regulations (multiple obligations). Asset Management Plans and Campus development plans	Campus Management business processes and reporting.		
Commerce Act 1986	AV Finance, Strategy and IT Commercial	Director, Finance and Asset Management	Fee Committee processes	External Audit (Audit NZ)	Plans and	



Compliance risk rating = high, medium, low, in accordance with the University

Register of Compliance Obligations 2012

Financial Reporting Act 1993

AVC Finance,
Strategy and IT

Director,

Compliance risk rating = high, medium, low, in accordance with the University

Register of Compliance Obligations 2012

Compliance risk rating = high, medium, low,

Register of Compliance Obligations 2012

Register of Compliance Obligations 2012

2. List of legislation Contained in the Legal Compliance Application used by Massey University, and supplied and maintained by Russell McVeagh

Animal Welfare Act 1999
Building Act 2004
Charities Act 2005
Chartered Professional Engineers of New Zealand Act 2002
Civil Aviation Act 1990 and Civil Aviation Rules
Commerce Act 1986
Companies Act 1993
Consumer Guarantees Act 1993
Copyright Act 1994
Criminal Records (Clean Slate) Act 2004
Crown Entities Act 2004
Designs Act 1953
Education Act 1989
Electronic Transactions Act 2002
Employment Relations Act 2000
Equal Employment Relations Act 2000

Compliance risk rating = high, medium, low, in accordance with the University