

Massey University Policy Guide

Procedures for Approving Research and Consultancy Activity Proposals Page 2

Where any subsidy by the University of the research and consulting work (e.g. in terms of unpaid staff time or indirect cost reimbursement) fails to meet the rationale for this practice as set out in the Massey University Costing and Indirect Cost Recovery for Externally Funded Research and Commercial Contracting Policy.

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(including Private Research and Consultancy). The Register will include the following information, recorded against each staff member:

Which Research and Consulting activity proposals (private or otherwise) were declined or approved and the grounds for this decision.

For approved proposals for which a payment is or will be received by the University (in the event of a University Research and Consultancy), or by the staff member directly (in the event of Private Research and Consultancy), the following data will also be recorded in the register:

The start and end date of the contract and time commitment (for University and Private Research and Consultancies);

Total revenue to be received for contract.

And for University Research and Consultancy only:

Workload release provisions, where relevant:

Buy-out provisions, where relevant;

Overload compensation details, where relevant;

Names of students involved, where relevant.

This information may be recorded in and retrieved from the RIMS system (when available). Registers must be kept up to date and may be inspected at any time at the request of the Vice-Chancellor.

Staff undertaking occasional talks or radio, television, or performances, occasional work (e.g. hobby or recreation related) for which honoraria are received, preparation of books or articles, refereeing of articles in learned journals, examining and minor opinion work may do so without permission and without a requirement to include this on Registers. With these exceptions, all other research and consulting activities must be set out in Research and Consultancy Activity Proposals, must have the approval of the relevant Head of Academic Unit and must be recorded

Private Research and Consultancy

Staff are encouraged to provide research and consulting services through the University. There are number advantages to this, some of which include:

Professional Indemnity insurance;

Support (secretarial and financial) to win contracts;

Use of office and telephone facilities at the University;

Access to technicians and research infrastructure:

Client billing and follow-up service:

Support for dispute resolution;

Taxation services.

However, the University also makes provision for research and consulting work which sits outside the University (i.e. is private). This work *must*

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as University employees or be in conflict with activities that should be or are being pursued through the University.



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As a general guideline, a commitment of up to 20% of a staff member's time spent on private research and consulting may be acceptable. Where the staff member is concurrently receiving overload compensation (See Massey University Policy on Use of External Research and Consultancy Funding), this limit reduces on a pro rata basis.

Private Research and Consultancy work is **not** subject to:

the Massey University Costing and Indirect Cost Recovert for Externally Funded Research and Commercial Contracting Policy (i.e. incurs no central indirect cost levy);

The Massey University Policy on Research and Consultancy Contracts;

The Massey University Policy on Intellectual Property.